

PATENT APPLICATION

IE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of : Satoru YOKOMIZO, et al.

Group Art Unit : 1636

Application No. : 10/019,543

Examiner : Ramin Akhavan

Filed : April 9, 2002

Docket No. : 12218/3

For : TRANSFORMANT AND PROCESS FOR PRODUCING

POLYESTER BY USING THE SAME

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08a Form. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO/SB/08a Form, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). It is believed that no fees are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action, Notice of Allowance, or any action that otherwise closes prosecution.

I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1). A copy of the communication is enclosed for the Examiner's information.

Respectfully submitted,

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PIO SB 084 (08-03) Approved for use through 07 31 2006, OMB 0651-0031

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Substite TRADE 449A PTO			= =	Complete if Known		
				Application Number	10 019,543	
INFO	PRMATION	N DI	SCLOSURE	Filing Date	April 9, 2002	
STATEMENT BY APPLICANT				First Named Inventor	Satoru YOKOMIZO, et al.	
				Art Unit	1636	
	(U'se as many sh	icets as r	ecessary)	Examiner Name	Ramin Akhavan	
Sheet	1	of	1	Attorney Docket Number	12218/3	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No ¹	Document Number Number - Kind Code ² (if l.nown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Decument	Pages, Columns, Lines, Where Relevant Passages or Relevant Finares Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T'
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY			
	1	EP 0 824 148 A2	02-18-1998	The Institute of Physical and Chemical Research (RIKEN)		
	2	EP 1 352 958 A1	10-15-2003	Kaneka Corporation		

Examiner Signature	Date Considered	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	3	T. Fukui, et al., "Cloning and Analysis of the Poly(3-Hydroxybutyrate-co-3-Hydroxyhexanoate) Biosynthesis Genes of <i>Aeromonas caviae</i> ," <i>J. Bacteriology</i> , Vol. 179, No. 15, August 1997, pages 4821-4830.			
	4	R. Cordero Otero, et al., "Efficient selection of hygromycin-B-resistant <i>Yarrowia lipolytica</i> transformants," <i>Appl. Microbiol. Biotechnol.</i> , Vol, 46, No. 2, 1996, pages 143-148.			

Examiner	Date	
Signature	Considered	
(Signature		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.